# Gyrokinetic particle simulations of reversed shear Alfvén eigenmodes (RSAEs) in DIII-D tokamak

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### Introduction

- Gyrokinetic simulation is a powerful tool to study energetic particle transport by Alfvén eigenmodes.
	- Self-consistent inclusion of global effects, kinetic effects, nonlinear effects, etc.
	- $\triangleright$  Capability of separating different levels of physics: linear and nonlinear physics; driving and damping mechanisms.
- Simulations of TAE [W Zhang *et al.*, PoP 2012], RSAE [Deng *et al.*, PoP 2010 & NF 2012a], BAE [H Zhang *et al.*, PoP 2010] by GTC have been verified against analytic theory and reliable hybrid MHD-gyrokinetic code.
- Now we push this forward to the validation of RSAE simulation against a well-diagnosed DIII-D experiment #142111. [Deng *et al.*, NF 2012b]

$$
\uparrow \omega_{\textnormal{RSAE}}(t) \approx \frac{v_{\textnormal{A}}}{R_0} \left| \frac{m}{\downarrow q_{\min}(t)} - n \right|
$$



Spectrogram of DIII-D #142111 showing frequency up-sweeping of RSAEs driven by NBI energetic particles [Van Zeeland *et al.*, PoP 2011; Tobias *et al.*, PRL 2011]

1 [GTC gyrokinetic model for kinetic-MHD modes](#page-3-0)

 $Simulations of RSAEs in DIII-D discharge #142111$ 

- [Good agreements among GTC, GYRO, TAEFL, & experiment](#page-7-0)
- RSAE frequency up-sweeping and RSAE to TAE transition
- [Damping and driving mechanisms](#page-14-0)
- Mode structures



#### 1 [GTC gyrokinetic model for kinetic-MHD modes](#page-3-0)

2 [Simulations of RSAEs in DIII-D discharge #142111](#page-5-0)

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- [Mode structures](#page-15-0)

<span id="page-3-0"></span>3 [Simulations of TAEs in DIII-D discharge #142111 \(Z. Wang\)](#page-18-0) Frequency and mode structure agreement with experiment

### Kinetic-MHD via gyrokinetic simulation

• Nonlinear gyrokinetic equation, Poisson's equation and Ampère's law

$$
\frac{\partial f}{\partial t} + (v_{\parallel} \mathbf{b} + \mathbf{v}_{\mathrm{d}}) \cdot \frac{\partial f}{\partial \mathbf{X}} + \dot{v}_{\parallel} \frac{\partial f}{\partial v_{\parallel}} = 0 \qquad \qquad \frac{\frac{Z_{\mathrm{i}} n_{\mathrm{i}}}{T_{\mathrm{i}}} (\phi - \tilde{\phi})}{-\frac{c}{4\pi} \nabla_{\perp}^2 A_{\parallel}} = \sum_{\alpha} Z_{\alpha} \bar{n}_{\alpha} u_{\alpha}
$$

 $\bullet$  In fluid limit, gyrokinetic system recovers MHD modes including Alfvén wave, interchange mode, kink mode, KBM

$$
\frac{\omega(\omega - \omega_{*P})}{v_{A}^{2}} \nabla_{\perp}^{2} \delta \phi + i \mathbf{B}_{0} \cdot \nabla \left[ \frac{\nabla_{\perp}^{2}(k_{\parallel} \delta \phi)}{B_{0}} \right]
$$
\n
$$
-i \nabla (k_{\parallel} \delta \phi) \times \mathbf{b}_{0} \cdot \nabla \left( \frac{\mathbf{b}_{0} \cdot \nabla \times \mathbf{B}_{0}}{B_{0}} \right) \longleftarrow \text{kink drive}
$$
\n
$$
-i \omega \frac{4\pi}{c} \left[ \nabla \times \mathbf{b}_{0} \cdot \nabla \left( \frac{\delta P_{\parallel}}{B_{0}} \right) + \mathbf{b}_{0} \times \nabla B_{0} \cdot \nabla \left( \frac{\delta P_{\perp}}{B_{0}^{2}} \right) + \frac{\nabla \times \mathbf{b}_{0} \cdot \nabla B_{0}}{B_{0}^{2}} \delta P_{\perp} \right]
$$
\ninterchange drive

 $= 0$ 

[

, W. Deng, Z. Lin and I. Holod, 52, 023005 (2012)]

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#### $Simulations of RSAEs in DIII-D discharge #142111$

- Good agreements among GTC, GYRO, TAEFL, & experiment
- [RSAE frequency up-sweeping and RSAE to TAE transition](#page-9-0)
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- <span id="page-5-0"></span> $Simulations of TAEs in DIII-D discharge #142111 (Z. Wang)$ Frequency and mode structure agreement with experiment



GTC, GYRO and TAEFL use the same geometry from EFIT and the same plasma  $\bullet$ profiles from ONETWO for rigorous benchmark.

Freq./growth rate agreement among GTC, GYRO and TAEFL



Experimental frequency shown here has Doppler shift by plasma rotation (8kHz) subtracted.

GTC: gyrokinetic particle-in-cell (PIC) code

GYRO: gyrokinetic continuum code

<span id="page-7-0"></span>TAEFL: MHD-gyrofluid code



RSAE freq. up-sweeping and RSAE to TAE transition

<span id="page-9-0"></span>





## Closer look at transition from RSAE to TAE (2)







# Damping and driving mechanisms identified & measured

 $q_{\min} = 3.18$ 



<span id="page-14-0"></span>Damping rate calculation requires non-perturbative, fully self-consistent mode structure, which will be clearly seen in the next two slides.

[W. Deng *et al.*, *Nuclear Fusion* 52, 043006 (2012)]

Mode structures of cases (III) and (IV) (III) GK bg ion, no fast ion, ad.  $e^-$  (IV) GK bg ion, no fast ion, DK  $e^-$ 

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Mode structures of cases (V) and (VI) (V) GK bg & fast ion, adiabatic  $e^-$  (VI) GK thermal & fast ion, DK  $e^-$ 



# $B_{\text{tor}}$  and *J* direction effect ( $q_{\text{min}} = 3.22$ )



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<span id="page-18-0"></span>

#### **n-scan of TAEs at t=522ms (** $q_{min} = 4.025$ **)**



- $\blacktriangleright$  The frequencies of  $n = 3, 4, 5$  agrees well with experimental results.
- $\blacktriangleright$  In experiment, the signals from  $n = 3, 4, 5$ are the strongest. So are the growth rates in our simulation.
- $\blacktriangleright$  The reason why  $n = 2$ TAE is missing in experiment is unknown.

#### *n* = 4 **TAE mode structure comparison with DIII-D**

The mode structure agrees quite well with the ECEI data from DIII-D (data provided by Benjamin Tobias)



**Figure: Left:**snapshot of TAE mode structure on a poloidal cross section. **Right:** Comparison of TAE structure in GTC with that in DIII-D discharge # 142111 from ECEI image

### Summary

- Electromagnetic gyrokinetic simulation model used in GTC can be shown to reduce to ideal MHD theory in the linear and long wave-length limit.
- Validation of simulations of RSAEs in DIII-D discharge #142111
	- ! Good agreements in frequency, growth rate and mode structure in comparisons among GTC, GYRO and TAEFL.
	- $\triangleright$  Simulation frequencies close to experiment in both up-sweeping RSAE and RSAE to TAE transition regions. Simulation growth rates close to experimental estimate.
	- ! Damping and driving mechanisms of RSAE identified and measured.
	- ! Nonlinear simulations for studies of RSAE saturation mechanism and fast ion transport are in progress.
- Validation of simulations of TAEs in the same DIII-D shot also gives good agreements in frequency and mode structure.